



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John C. Hiatt, Jr., et al.  
Appl. No.: 10/017,040  
Conf. No.:  
Filed: December 6, 2001  
Title: DELAYED START FINANCIAL INSTRUMENT AND METHOD FOR  
CONVERTING DELAYED START FINANCIAL INSTRUMENT TO A  
STANDARD OPTION  
Art Unit: 2161  
Examiner:  
Docket No.: 113609-002

Commissioner for Patents  
Washington, DC 20231

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CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 CFR 1.10

Sir:

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2. Preliminary Amendment (3 Pages); and
3. Return Receipt Postcard.

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on May 1, 2003.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Robert Buccieri

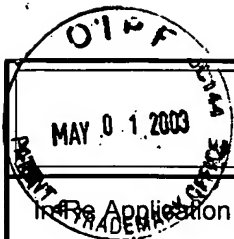
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**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
113609-002

In Re Application Of: John C. Hiatt, Jr., et al.

Serial No.  
10/017,040

Filing Date  
12/06/2001

Examiner

Group Art Unit  
2161

Title: **DELAYED START FINANCIAL INSTRUMENT AND METHOD FOR CONVERTING DELAYED START FINANCIAL INSTRUMENT TO A STANDARD OPTION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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Dated: May 1, 2003

Jeffrey H. Canfield, Esq. (38,404)  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
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**TRANSMITTAL LETTER**  
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